

# Loop Counting Examples

## Exercise 3.3 Counting function calls in loops (1 point).

For each of the following code snippets, compute the number of calls to  $f$  as a function of  $n \in \mathbb{N}$ . Provide both the exact number of calls and a maximally simplified asymptotic bound in  $\Theta$  notation.

### Algorithm 1

(a)

```
i ← 0
while i ≤ n do
  f()
  f()
  i ← i + 1
j ← 0
while j ≤ 2n do
  f()
  j ← j + 1
```

$$\sum_{i=0}^n 2 + \sum_{j=0}^{2n} 1 = (n-0+1) \cdot 2 + (2n-0+1) \cdot 1 \\ = 2n+2 + 2n+1 = 4n+3 = \Theta(n)$$

### Algorithm 2

(b)

```
i ← 1
while i ≤ n do
  j ← 1
  while j ≤ i3 do
    f()
    j ← j + 1
  i ← i + 1
```

$$\sum_{i=1}^n \sum_{j=1}^{i^3} 1 = \sum_{i=1}^n (i^3 - 1 + 1) \cdot 1 = \sum_{i=1}^n i^3 = \frac{n^2 \cdot (n+1)^2}{4} = \Theta(n^4)$$

for the mini cheat sheet:

$$\sum_{i=1}^n i = \frac{n(n+1)}{2}$$

$$\sum_{i=1}^n i^2 = \frac{n(n+1)(2n+1)}{6}$$

$$\sum_{i=1}^n i^3 = \left(\frac{n(n+1)}{2}\right)^2 = \frac{n^2(n+1)^2}{4}$$

FS 23

## Theory Task T2.

/ 15 P

In this part, you should justify your answers briefly.

/ 4 P

a) *Counting iterations*: For the following code snippets, derive an asymptotic bound for the number of times  $f$  is called. Simplify the expression as much as possible and state it in  $\Theta$ -notation as concisely as possible.

i) Snippet 1:

## Algorithm 1

```

for  $i = 1, \dots, n$  do
  for  $j = 1, \dots, i^2$  do
     $f()$ 
   $f()$ 

```

$$\sum_{i=1}^n \left( \left( \sum_{j=1}^{i^2} 1 \right) + 1 \right) = \sum_{i=1}^n i^2 + 1 = \sum_{i=1}^n i^2 + n = \frac{n(n+1)(2n+1)}{6} + n = \Theta(n^3)$$

$\downarrow$   
 $(i^2 - 1 + 1) \cdot 1 = i^2$

$\sum_{i=1}^n 1 = (n-1+1) \cdot 1 = n$

for the mini cheat sheet:

$$\sum_{i=1}^n i = \frac{n(n+1)}{2}$$

$$\sum_{i=1}^n i^2 = \frac{n(n+1)(2n+1)}{6}$$

$$\sum_{i=1}^n i^3 = \left( \frac{n(n+1)}{2} \right)^2 = \frac{n^2(n+1)^2}{4}$$

ii) Snippet 2:

## Algorithm 2

```

for  $i = 1, \dots, n$  do
   $k \leftarrow 1$ 
  while  $k \leq i^2$  do
     $f()$ 
     $k \leftarrow 2k$ 
   $f()$ 

```

$k: 1, 2, 4, \dots, i^2$   
 $2^0, 2^1, 2^2, \dots, 2^{\log_2 i^2}$

$$\sum_{i=1}^n \left( \left( \sum_{j=0}^{\lfloor \log_2 i^2 \rfloor} 1 \right) + 1 \right) = n + \sum_{i=1}^n \left( \sum_{j=0}^{\lfloor \log_2 i^2 \rfloor} 1 \right) = n + \sum_{i=1}^n (\log_2(i^2)) \quad (+1 \text{ ignored for next})$$

$$= n + \sum_{i=1}^n 2 \log(i)$$

$$= 2 \log(1) + 2 \log(2) + \dots + 2 \log(n) + n$$

$$= 2 \log(n!) + n = \Theta(n \log n)$$

$$\frac{n}{2} \leq n! \leq n^n$$

**Exercise 2.1** Induction.

(a) Prove via mathematical induction that for all integers  $n \geq 5$ ,

$$2^n > n^2.$$

In your solution, you should address the base case, the induction hypothesis and the induction step.

Base Case:  $n=5$       $2^5 = 32 > 5^2 = 25$

I.H.: For some integer  $k \geq 5$       $2^k > k^2$  holds

I.S.:  $2^{k+1} = 2 \cdot 2^k \stackrel{\text{I.H.}}{>} 2 \cdot k^2 = k^2 + k^2$

$$\geq k^2 + 5k \quad | k \geq 5$$

$$= k^2 + 2k + 3k$$

$$\geq k^2 + 2k + 15 \quad | k \geq 5$$

$$> k^2 + 2k + 1$$

$$= (k+1)^2$$

By the principle of ...

Let  $x$  be a real number. Prove via mathematical induction that for every positive integer  $n$ , we have

$$(1+x)^n = \sum_{i=0}^n \binom{n}{i} x^i,$$

where

$$\binom{n}{i} = \frac{n!}{i!(n-i)!}.$$

We use a standard convention  $0! = 1$ , so  $\binom{n}{0} = \binom{n}{n} = 1$  for every positive integer  $n$ .

**Hint:** You can use the following fact without justification: for every  $1 \leq i \leq n$ ,

$$\binom{n}{i} + \binom{n}{i-1} = \binom{n+1}{i}.$$

To Show:

$$(1+x)^n = \sum_{i=0}^n \binom{n}{i} x^i, \quad \text{for every positive integer } n$$

Base Case:

$$\begin{aligned} n=1 \quad (1+x)^1 &= 1+x // \\ \sum_{i=0}^1 \binom{1}{i} x^i &= \binom{1}{0} \cdot x^0 + \binom{1}{1} \cdot x = 1+x // \end{aligned}$$

I.H.:

Assume that the property holds for some positive integer  $k$ :

$$(1+x)^k = \sum_{i=0}^k \binom{k}{i} x^i$$

$(k+1)$

I.S.:

$$(1+x)^{k+1} = (1+x)(1+x)^k$$

$$\stackrel{\text{I.H.}}{=} (1+x) \sum_{i=0}^k \binom{k}{i} x^i$$

$$= \left( \sum_{i=0}^k \binom{k}{i} x^i \right) + \left( x \cdot \sum_{i=0}^k \binom{k}{i} x^i \right) = \binom{k}{0} x^0 + \binom{k}{1} x^1 + \dots + \binom{k}{k} x^k$$

$$= \left( \sum_{i=0}^k \binom{k}{i} x^i \right) + \left( \sum_{i=0}^k \binom{k}{i} x^{i+1} \right)$$

$$= \left( \sum_{i=0}^k \binom{k}{i} x^i \right) + \left( \sum_{i=1}^{k+1} \binom{k}{i-1} x^i \right)$$

$$= \binom{k}{0} \cdot x^0 + \left( \sum_{i=1}^k \binom{k}{i} x^i \right) + \left( \sum_{i=1}^k \binom{k}{i-1} x^i \right) + \binom{k}{k} \cdot x^{k+1}$$

$$= \binom{k}{0} \cdot x^0 + \sum_{i=1}^k \left( \binom{k}{i} x^i + \binom{k}{i-1} x^i \right) + \binom{k}{k} \cdot x^{k+1}$$

$$\stackrel{\text{hint}}{=} \binom{k}{0} \cdot x^0 + \sum_{i=1}^k \binom{k+1}{i} x^i + \binom{k}{k} \cdot x^{k+1}$$

Hint: You can use the following fact without justification: for every  $1 \leq i \leq n$ ,

$$\binom{n}{i} + \binom{n}{i-1} = \binom{n+1}{i}$$

$$= \binom{k+1}{0} x^0 + \sum_{i=1}^k \binom{k+1}{i} x^i + \binom{k+1}{k+1} x^{k+1} \quad \left| \begin{array}{l} \binom{k}{0} = 1 = \binom{k+1}{0} \\ \binom{k}{k} = 1 = \binom{k+1}{k+1} \end{array} \right.$$

$$= \sum_{i=0}^{k+1} \binom{k+1}{i} x^i \quad \square$$

By the principle of mathematical induction, the property is true for every positive integer  $n$ .

### Exercise 2.5 Asymptotic growth of $\ln(n!)$ .

Recall that the factorial of a positive integer  $n$  is defined as  $n! = 1 \cdot 2 \cdot \dots \cdot (n-1) \cdot n$ . For the following functions  $n$  ranges over  $\mathbb{N}_{\geq 2}$ .

(a) Show that  $\ln(n!) \leq O(n \ln n)$ .

**Hint:** You can use the fact that  $n! \leq n^n$  for  $n \in \mathbb{N}_{\geq 2}$  without proof.

$$\begin{aligned} \ln(n!) &\leq \ln(n^n) && | \text{log is monoton + hint} \\ &= n \ln(n) && \Rightarrow \ln(n!) \leq O(n \ln n) \end{aligned}$$

(b) Show that  $n \ln n \leq O(\ln(n!))$ .

**Hint:** You can use the fact that  $\left(\frac{n}{2}\right)^{\frac{n}{2}} \leq n!$  for  $n \in \mathbb{N}_{\geq 2}$  without proof.

$$\begin{aligned} \ln(n!) &\geq \ln\left(\frac{n}{2}^{\frac{n}{2}}\right) && | \text{log is monoton + hint} \\ &= \frac{n}{2} (\ln n - \ln 2) \end{aligned}$$

$$\Rightarrow 2 \ln(n!) \geq n \ln n - n \ln 2$$

$$\Rightarrow 2 \ln(n!) + \underbrace{n \ln 2}_{\star} \geq n \ln n$$

$$\begin{aligned} \star: n \ln 2 &\leq \ln 2 + \sum_{i=1}^n \ln(i) = \ln 2 + (\ln(1) + \ln(2) + \dots + \ln(n)) \\ &= \ln 2 + \ln(n!) \leq 2 \ln(n!) \end{aligned}$$

$$\Rightarrow n \ln n \leq 2 \ln(n!) + n \ln 2 \stackrel{\star}{\leq} 4 \ln(n!)$$

$$n \ln n \leq 4 \ln(n!) \Rightarrow n \ln n \leq O(\ln n!)$$